



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 30, 2001.*

Alex Martinez

Applicant : Ross Halgren  
Application No. : 09/778,992  
Filed : February 7, 2001  
Title : TRANSPARENT OPTICAL-ELECTRONIC-OPTICAL  
SWITCH  
Grp./Div. : 2633  
Examiner : N/A  
Docket No. : 41761/DBP/C664

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
May 30, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated March 30, 2001, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for \$710.00 for the filing fee and a surcharge check for \$130.00.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.
5. Five sheets of substitute drawings in compliance with 37 CFR 1.84.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of this application to Deposit Account No. 03-1728.

Application No. 09/778,992

Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.



Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By *D Bruce Prout*  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
Enclosures

AAM PAS353919.1\*-5/30/01 11:14 AM



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/778,992	02/07/2001	Ross Halgren	41761/DBP/C664

CONFIRMATION NO. 2391

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

## FORMALITIES LETTER



\*OC000000005918885\*

Date Mailed: 03/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/05/2001 HXU061 00000039 09778992

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP

*A copy of this notice MUST be returned with the reply.*

*HL Hoff*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

